


<b>CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)</b>			Docket No. <b>END820030038US1</b>	
Applicant(s): <b>Sean E. Aschen et al</b>				
Serial No. <b>10/603,999</b>	Filing Date <b>06/25/2003</b>	Examiner <b>Olubusola Oni</b>	Group Art Unit <b>2168</b>	
Invention: <b>FILE RETRIEVAL METHOD AND SYSTEM</b>			<b>RECEIVED CENTRAL FAX CENTER JUL 28 2006</b>	
<p style="text-align: center;"><b>After Final</b></p> <p>I hereby certify that this <u>Amendment, Amendment Transmittal Letter, Cert. of Facsimile</u> <small>(Identify type of correspondence)</small></p> <p>is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>571-273-8300</u>)</p> <p>on <u>07/28/2006</u> <small>(Date)</small></p> <p style="text-align: right;"><u>Colleen Bulman</u> <small>(Typed or Printed Name of Person Signing Certificate)</small></p> <p style="text-align: right;"><u>Colleen Bulman</u> <small>(Signature)</small></p> <p style="text-align: center;">Note: Each paper must have its own certificate of mailing.</p>				

<b>AMENDMENT TRANSMITTAL LETTER (Large Entity)</b>					Docket No. <b>END820030038US1</b>	
Applicant(s): <b>Sean E. Aschen et al</b>						
Application No. <b>10/603,999</b>	Filing Date <b>06/25/2003</b>	Examiner <b>Olubusola Oni</b>	Customer No. <b>26502</b>	Group Art Unit <b>2168</b>	Confirmation No. <b>4751</b>	
Invention: <b>FILE RETRIEVAL METHOD AND SYSTEM</b>						
					<b>RECEIVED CENTRAL FAX CENTER JUL 28 2006</b>	
<u>COMMISSIONER FOR PATENTS:</u>						
Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below.						
<b>CLAIMS AS AMENDED</b>						
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE	
TOTAL CLAIMS	13	20	0	x \$50.00	\$0.00	
INDEP. CLAIMS	2	3	0	x \$200.00	\$0.00	
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00	
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>					<b>\$0.00</b>	
<div style="display: flex; flex-direction: row;"><div style="flex: 1;"><p><input checked="" type="checkbox"/> No additional fee is required for amendment.</p><p><input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____</p><p><input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed.</p><p><input checked="" type="checkbox"/> The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account <b>09-0457</b></p><p><input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16.</p><p><input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17.</p><p><input type="checkbox"/> Payment by credit card. Form PTO-2038.</p><p><b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</b></p></div><div style="flex: 1; text-align: right;"><p>Dated: <b>07/28/06</b></p></div></div>						
<div style="display: flex; justify-content: space-between;"><div style="width: 45%;"><p> Signature</p><p><b>John R. Pivnichny</b> Reg. No. 43,001 IBM Corporation IP Law Dept. 1Q0A/Bldg. 40-3 1701 North Street Endicott, NY 13760 Telephone: 607-429-5739 Fax: 607-429-4119</p></div><div style="width: 50%; border: 1px solid black; padding: 5px;"><p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on _____</p><p style="text-align: center;">(Date)</p><p style="text-align: center;">_____ Signature of Person Mailing Correspondence</p><p style="text-align: center;">_____ Typed or Printed Name of Person Mailing Correspondence</p></div></div>						
cc: Records						

RECEIVED  
CENTRAL FAX CENTER  
JUL 28 2006

Docket No.: END920030038US1

RESPONSE UNDER 37 CFR 1.116--  
EXPEDITED PROCEDURE--  
EXAMINING GROUP 2168

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re Patent Application of: Sean E. Aschen et al

Group Art Unit: 2168 : IBM Corporation  
Examiner: Olubusola Oni : Intellectual Property Law  
Serial No.: 10/603,999 : Department IQ0A/040-3  
Filed: 06/25/2003 : 1701 North Street  
Title: FILE RETRIEVAL : Endicott, New York 13760  
METHOD AND SYSTEM

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE UNDER RULE 37 CFR 1.116**

Dear Sir:

In response to the Office Action dated 05/31/2006,  
applicants make the following arguments.

END920030038US1

10/603,999